

Self-assembled supramolecular hydrogel based on PCL-PEG-PCL triblock copolymer and γ -cyclodextrin inclusion complex for sustained delivery of dexamethasone

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Abstract

In this study, thermosensitive, water-soluble, and biodegradable triblock copolymer PCL₆₀₀-PEG₆₀₀₀-PCL₆₀₀ was used to form supramolecular hydrogel (SMGel) by inclusion complexation with γ -cyclodextrin (γ -CD). The prepared SMGel was investigated as a carrier for sustained release of dexamethasone. The triblock copolymer PCL-PEG-PCL [where PCL = polycaprolactone, PEG = poly(ethylene glycol)] was synthesized by the ring-opening polymerization method using microwave irradiation. The polymerization reaction and the copolymer structures were evaluated by nuclear magnetic resonance (NMR) and gel permeation chromatography (GPC). SMGel was prepared in aqueous solution by blending an aqueous γ -CD solution with aqueous solution of PCL-PEG-PCL triblock copolymer at room temperature. The sol-to-gel transition time was measured at various concentrations of copolymer and γ -CD. As-prepared SMGel was used to prepare a sustained, controllable drug delivery system of dexamethasone sodium phosphate. The SMGel was also characterized in terms of rheological, morphological, and structural properties. Results obtained from proton nuclear magnetic resonance (¹H-NMR) and GPC demonstrated that microwave irradiation is a simple and reliable method for synthesis of PEG-PCL copolymer. The SMGel with excellent syringability was prepared by mixing of 20% wt γ -CD and 10% wt of copolymer within 4 s. The SMGel containing 10% wt copolymer, 20% wt γ -CD, and 0.5% or 0.1% wt dexamethasone released approximately 100% and 45% of drug over up to 23 days, respectively. It could be concluded that SMGel based on self-assembly of inclusion complexes between PCL-PEG-PCL copolymer and γ -CD could be used as a basis for injectable drug delivery systems that provide sustained and controlled release of macromolecular drugs such as dexamethasone.

Key words: γ -cyclodextrin (γ -CD), dexamethasone, PEG-PCL, supramolecular hydrogel (SMGel)

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INTRODUCTION

Controlled drug delivery systems have been designed to keep a plasma concentration of drugs within a therapeutic level for a longer period of time to ensure efficient therapeutic effect.^[1]

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In the past two decades, polymeric hydrogels have been widely investigated as controlled drug delivery systems because of their high water content, generally favorable biocompatibility, and easy preparation.^[2-5]

The formation of supramolecular systems is mainly organized by means of intermolecular noncovalent binding interactions between two or more molecular moieties.^[6]

Counted among the most potent classes of biomaterials, supramolecular hydrogels (SMGels) formed by cyclodextrins (CDs) and linear polymers have been employed as controllable drug delivery systems.^[7,8]

CDs are a series of cyclic oligosaccharides composed of 6 (α -CD), 7 (β -CD), or 8 (γ -CD), D(+)-glucose units linked by α 1, 4-linkages.^[9]

The geometry of CDs gives a hydrophobic inner cavity having a depth of ca. 7 Å, and an internal diameter of ca. 4.5 Å, 7 Å, and 8.5 Å for α -, β -, and γ -CD, respectively.^[10]

Due to the capability of forming inclusion complexes in the inner cavities and exceptional physicochemical characteristics, CDs have been utilized in drug delivery systems to improve solubilization, stabilization, and absorption.^[11]

The first noteworthy report on the preparation of CD-based polyrotaxanes described the SMGel composed of multiple α -CD rings threading on a poly(ethylene oxide) chain and trapped by end-capping the chain with bulky end groups.^[12]

Since then, many studies has been focused on the evaluation of supramolecular structures based on the polyrotaxanes and the polypseudorotaxanes formed by CDs threading on a polymer chain^[13-17] and their applications in medicine.^[18-22]

SMGels are used as controlled drug delivery systems for a variety of applications including chemotherapy, cardiovascular disease, hormone therapy, therapeutic protein delivery, and vaccine development.^[23-25]

A drug delivery formulation can be obtained by physical hydrogels, by which gelation and high drug loading can be achieved simultaneously in an aqueous environment without chemical crosslinking, during sol-to-gel transition. Furthermore, the stimuli-responsive properties of hydrogels provide the essential intelligence required for drug delivery and release.^[26]

Glucocorticoids such as dexamethasone emerged as a type of potent medicine to prevent or suppress inflammation in response to multiple inciting events, including infectious, immunological, mechanical, and chemical stimuli.^[27]

Dexamethasone in multiple dosage form has serious systemic side effects. Consequently, the controlled, continuous delivery

of dexamethasone using SMGel was considered to avoid these side effects and achieve the goal of suppressing inflammatory responses. Some of the advantages of SMGels as controlled drug delivery vehicle are: A decrease in dosage of the formulation, a sustained continuous drug release, decrease side effects, reduced frequency of administration, and, therefore, increased patient compliance.^[28]

We reported the sol-gel transition during self-assembling induced by inclusion complexation between γ -CD and PCL-PEG-PCL triblock copolymer with the PEG/PCL ratio of 1/5 in aqueous solution.^[26]

The objective of this study was to develop a SMGel system that can be used for sustained, continuous delivery of an antiinflammatory drug using a simple preparation method that had shown promising preliminary *in vitro* results in our laboratory.

MATERIALS AND METHODS

Generals

Poly (ethylene glycol) (i.e., PEG) with molecular weights of 6000 was purchased from Merck (Darmstadt, Germany). Poly- ϵ -caprolactone (i.e., PCL) and stannous octanoate [Tin(II) ethylhexanoate or Sn(Oct)₂] were procured from Sigma Aldrich (Missouri, USA). γ -CD was purchased from Fluka (Buchs, Switzerland).

Dexamethasone sodium phosphate was kindly donated by DarouPakhsh (Tehran, Iran). All other analytical-grade chemical reagents and solvents were obtained from Merck (Germany) and used as received.

Synthesis of triblock copolymer

PCL-PEG-PCL copolymers were synthesized with the PCL:PEG ratio of 1:5 by ring-opening polymerization technique using microwave irradiation, as described previously.^[29]

Briefly, PEG 10 g was introduced into a round-bottom flask equipped with a condenser and this was placed in a microwave instrument. The PEG was irradiated for 10 min at 800 W and 130°C. Then to the dried viscous PEG, 2 g PCL and catalyst Sn(Oct)₂ were added and the mixture was irradiated and stirred at 800 W, while the temperature was kept at 130°C for 15 min.

The synthesized copolymer was purified by dissolving the product in 30 mL dichloromethane followed by precipitating by adding 800 mL diethyl ether. The purification process was repeated three times, and the precipitate was freeze-dried and kept at -20°C until use.

The yield of the reaction was calculated by dividing the amount of the obtained product by the amount of the starting materials used in the copolymer synthesis process.

Characterization of PCL-PEG-PCL triblock copolymer

The proton nuclear magnetic resonance ($^1\text{H-NMR}$) spectrum of the synthesized PCL-PEG-PCL triblock copolymer was recorded by Bruker Ac-80 spectrophotometer (Ettlingen, Germany) in CDCl_3 at 25°C .

The number average molecular weight (M_n) and the PEG/PCL ratio were estimated by integrating the signals pertaining to each monomer, such as the peaks from CH_2 of ethylene glycol and CH_2 of PCL according to the method established by Jeong *et al.*^[30]

The molecular weight (M_w) and polydispersity of the synthesized copolymer was determined by gel permeation chromatography (GPC)s, using the Agilent GPC-Addon system (California, USA) and RID-A refractive index signal detector (Agilent Technology, California, USA) coupled to PLgel. Tetrahydrofuran was used as an eluent with a flow rate of 1 mL/min and the sample injection volume was 10 μL .

Formation and characterizations of SMGels

Aqueous solutions with various concentrations of PCL-PEG-PCL: 1.5%, 2%, 2.5%, 10%, 15%, 20%, 25% (w/v) and of γ -CD: 8%, 10%, 12%, 15%, 20%, 25% (w/v) were mixed with vigorous stirring until the formation of hydrogels at room temperature up to 24 h.

To determine whether the SMGels formed, the vials were inverted, and when the mixture did not flow, it was assumed to be the gel formation time.

To prepare dexamethasone-loaded SMGel, 0.1% and 0.5% (w/v) of dexamethasone sodium phosphate was dissolved in 10% (w/v) aqueous solution of PCL-PEG-PCL copolymer. Then an equal volume of 20% (w/v) γ -CD solution was gradually added to the solution of drug and copolymer under vigorous stirring.

Investigation of SMGel and physical mixture morphology

The structure of freeze-dried dexamethasone-loaded SMGel and the physical mixture of copolymer/ γ -CDs/dexamethasone were analyzed by scanning electron microscopy (SEM) using a LEO-1350 VP equipment (Selb, Germany). The powder samples were mounted on double-sided carbon adhesive tape on aluminum stubs and coated with 2-5-nm gold layers, which were processed in a standard sputter under vacuum. Then the microstructures of the samples were investigated.

Swelling ratio measurement

To evaluate the swelling ratio of SMGel, 100 mg of dried hydrogel was immersed in 250 mL deionized water at room temperature. The mass of sample was measured after removal of surface waters every 15 min for three times. When SMGel reached the maximum state of swelling state, a swelling ratio was calculated using the following equation:^[31]

$$\text{Swelling ratio} = (\text{Wet weight} - \text{Dry weight}) / \text{Dry weight} \quad (1)$$

X-ray powder diffraction (XRPD)

The XRPD study was carried out to characterize the crystalline phase of the dexamethasone-loaded SMGel and the physical mixture of γ -CD/copolymer/dexamethasone. A Phillips analytical x-ray B.V. (Almelo, The Netherlands) was used as an x-ray generator consisting of a PW3710 diffractometer and an x-ray tube (30 mA and 40 kV) with a copper anode. Data were collected in continuous scan mode using a step size of $0.033^\circ 2\theta$. The scanned range was 0° to 70° .

Differential scanning calorimetric (DSC)

DSC scans of about 3-5 mg of accurately weighed SMGel, dexamethasone-loaded SMGel, dexamethasone, triblock copolymer, and γ -CD were performed by an automatic thermal analyzer system (Mettler Toledo DSC 822, Greifensee, Switzerland). Each sample put into the sealed aluminum-lead pans was run at a scanning rate of $20^\circ\text{C}/\text{min}$ from 0°C to 300°C .

Evaluation of formulation injectability

Syringe with a 25-gauge microfine needle was filled with dexamethasone-loaded SMGel, and the injectability of the formulations was determined by passing through the syringe at 25°C .

Evaluating the rheological behavior of the SMGel

The viscoelastic behavior of the SMGel containing 10% w/v copolymer and 20% w/v γ -CD was evaluated on an R/S plus Mo8-219 rheometer (Brookfield, Middleboro, USA). The viscosity of the hydrogel was recorded applying a flow cycle consisting of a continuously increasing shear rate ramp at 25°C .

Then, the logarithm of related viscosity and shear stress plotted against shear rate to evaluate the thixotropic behavior of the hydrogel.

In vitro release study

1 mL of formulation was poured into 10 mL tubes with a cross-sectional area of 1 cm^2 containing 3 mL phosphate-buffered saline (PBS, $\text{pH} = 7.4$) solution. The tubes were then transferred to a water bath shaker incubator (FarazTeb, Tajhiz, Iran) set at $50 \pm 2\text{ rpm}$ and 37°C .

Samples (500 μL) were withdrawn at definite times and replaced by addition of 500 μL of fresh PBS, $\text{pH} = 7.4$.

The samples were assayed for dexamethasone content by high-performance liquid chromatography (HPLC).

Analytical HPLC was performed by Waters 600 pump system (Waters Corporation, Milford, MA, USA) on a Novapak C18 column ($3.9 \times 150\text{ mm}^2$). An isocratic solvent consisting of acetonitrile/PBS (10 mM) 70/30 was used as a mobile phase with a flow rate of 1 mL/min at 25°C .

The amount of dexamethasone eluted from the column was recorded on UV-vis ($\lambda = 242\text{ nm}$) using a Waters 2487 dual

absorbance detector. The volume of each injection was 30 μ L and each sample was analyzed three times. Data were analyzed using AzurV4.0 software (Datalys, Theix, France).^[32]

RESULTS AND DISCUSSION

PCL-PEG-PCL synthesis and characterizations

The copolymer was successfully synthesized by ring-opening polymerization with a yield of 88%. Such a high yield of reaction indicated the efficiency of the microwave-assisted method in synthesis of PCL-PEG-PCL, as also reported before. Moreover, as by this method lower amounts of impurities emerge in comparison with the conventional synthesis method, a shorter purification procedure was needed, therefore less waste of products occurred along with the purification.

The ¹H-NMR spectrum of the PCL-PEG-PCL copolymer is represented in Figure 1.

The signal at 3.6 ppm is attributed to the CH₂ of the PEG block in the copolymer chains, and its integral was used as an internal standard to calculate the number average chain length of the PEG.

The signals at 4.1 ppm, 2.2 ppm, and 1.5 ppm are related to the CH₂ of PCL block and with their integrals the number average chain length of the PCL can be estimated.

The obtained results verify that microwave irradiation is a reliable method for synthesis of PCL-PEG-PCL triblock copolymer.

The GPC chromatogram of the synthesized copolymer is shown in Figure 2. The GPC curve of the copolymer is symmetrical, indicating the negligible polydispersity of the polymer. No shoulder peaks corresponding to the PCL macromere or its homopolymer were detected.

Table 1 shows the number average molecular weight (Mn), the molecular weight (Mw), and the molecular weight distribution

of copolymers which was determined by GPC and ¹H-NMR. The PEG/PCL ratio is very close to the intended ratio used in the reaction initially. It shows that reaction proceeded well to produce the purposed triblock copolymer.

SMGel formation

PCL-PEG-PCL triblock copolymer with short PCL block (600 Da) was found to form hydrogel complexes with at least 20% w/v of γ -CD and 10% w/v of copolymer.

In our study, the effects of the copolymer and γ -CD concentrations on hydrogel formation were investigated.

Table 2 represents the formulations that turned into the gel and the length of time they took to come into shape at 25°C. The formulations that were able to turn into a gel remained in gel form at 37°C. Among the tested formulations, only the aqueous solution containing 10% and 20% (w/v), and the one containing

Table 1: Copolymer characteristics determined by ¹H-NMR and GPC

Mn ^a	PEG/PCL ^b	GPC		
		Mn ^c	Mw ^d	Mw/Mn ^e
PCL ₇₃₂ - PEG ₆₀₀₀ -PCL ₇₃₂	0.24	6120	5895	0.96

^aNumber average molecular weight determined by ¹H-NMR, ^bPCL/PEG ratio determined by ¹H-NMR, ^cNumber average molecular weight determined by GPC, ^dAverage molecular weight determined by GPC, ^ePolydispersity determined by GPC

Table 2: SMGel preparation at 25°C with various concentrations of copolymer and γ -CD

γ -CD % (w/v)	8	10	12	15	20	25
Copolymer (%) (w/v)						
1.5	—	—	—	No gel	No gel	No gel
2	—	—	—	No gel	No gel	No gel
2.5	No gel	No gel	No gel	No gel	No gel	Gel in 8 s
10	No gel	No gel	No gel	No gel	Gel in 4 s	—
15	No gel	No gel	No gel	—	—	—
20	No gel	No gel	No gel	—	—	—
25	No gel	No gel	No gel	—	—	—

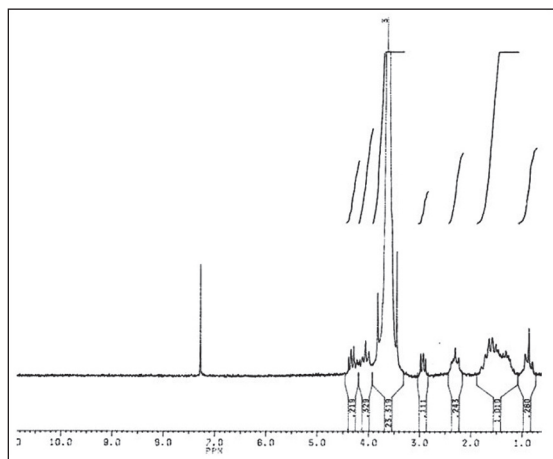


Figure 1: ¹H-NMR of PCL₆₀₀-PEG₆₀₀₀-PCL₆₀₀ triblock copolymer

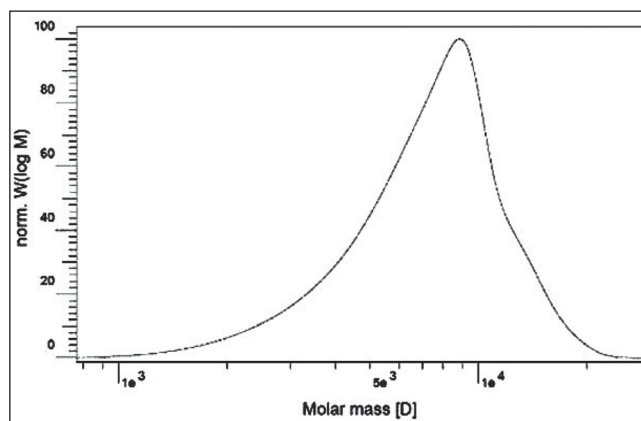


Figure 2: GPC chromatogram of the PCL-PEG-PCL

2.5% and 25% (w/v) of copolymer and γ -CD, respectively, formed hydrogels at room temperature.

In the later formulation, γ -CD aided the gel formation of triblock copolymer at a low concentration of PCL-PEG-PCL. Although PCL-PEG-PCL is one of the thermoresponsive copolymers that can turn into a gel state in response to mounting temperature, a higher concentration of copolymer solution is needed for this purpose. Furthermore, it seems even the concentrated solution of our synthesized copolymer with such a low PCL/PEG ratio, 1/5, cannot turn into a gel due to the short PCL blocks and insufficient hydrophobic interactions between polymer chains. We assume that the interaction within the PCL blocks hardly occurred prior to covering the long hydrophilic PEG blocks by γ -CD. Here, the inclusion complexes formed by γ -CD and PEG blocks of PCL-PEG-PCL triblock copolymers are thought to create small aggregates, which induce formation of a supramolecular polymer network, consequently resulting in the gelation of the solutions.^[33] In fact, the micellization of the PCL block is important in the gelation process.^[34] The hydrophobic interactions between the PCL blocks facilitate the formation of the polymer network.^[35] Therefore, the gelation of the aqueous solutions of PCL-PEG-PCL triblock copolymers and γ -CD is the result of a cooperation of the inclusion complexation between γ -CD and PEG blocks and the micellization of the PCL blocks of the triblock copolymers.

In this study, for the PCL₆₀₀-PEG₆₀₀₀-PCL₆₀₀ copolymer with a PCL/PEG ratio of 1/5 at concentrations about 20% (w/v) of γ -CD and 10% (w/v) of copolymer, the SMGel was formed successfully in just 4 s. This time is slightly more for the other formulation containing lower amounts of copolymer yet higher γ -CD: And 2.5% (w/v) of copolymer and 25% (w/v) of γ -CD. It affirmed the role of copolymer concentration on gelation time, which is critical for *in situ* forming drug delivery systems. Regarding these systems, if the gelation occurred with a delay, the dose-dumping phenomenon and its subsequent adverse reaction would emerge. Using the SMGel formulations, as the prepared system was administrated in its final shape, that is the gel state, the gelation time was not a very crucial parameter. However, in a case of sensitive drug molecules, which destabilize rapidly during preparations and by considering the importance of cost and time in the industry, the formulations that can turn into gel immediately are desirable.

Swelling ratio

Penetration of water into the porous structure of SMGel leads to its swelling. Figure 3 shows the swelling capacity of hydrogel in distilled water. The hydrogels exhibited good water-swelling ability such that after 15 min, the weight of SMGel increased 1.5 times. We supposed that large PEG blocks and hydrophilic cavities of γ -CD induce water absorption into the SMGel. A large quantity of water increases the biocompatibility of such a system.

Rheological properties of SMGel

Representative curves of shear stress and logarithmic transformations of viscosity versus shear rate of formulation

containing 10% w/v of copolymer and 20% w/v of γ -CD [Figures 4 and 5] all indicate the shear-thinning behavior of the prepared SMGel.

The prepared dexamethasone formulation easily passed through a 25-gauge syringe at 25°C and was injectable. This is attributable to the thixotropic behavior of the SMGel.^[36] By elevating the shear rate, the viscosity of SMGel decreases. It facilitates the flow of

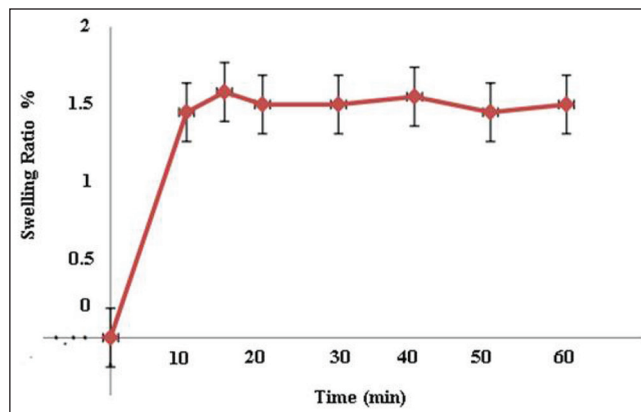


Figure 3: Swelling ratio of SMGels during 60 min

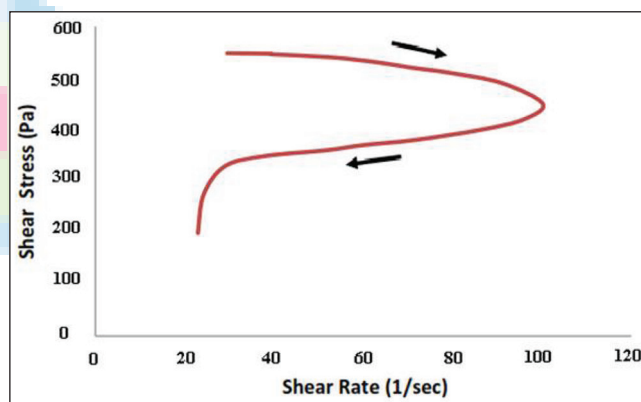


Figure 4: Thixotropic characteristics of SMGel composed of 10% w/v of copolymer and 20% w/v of γ -CD

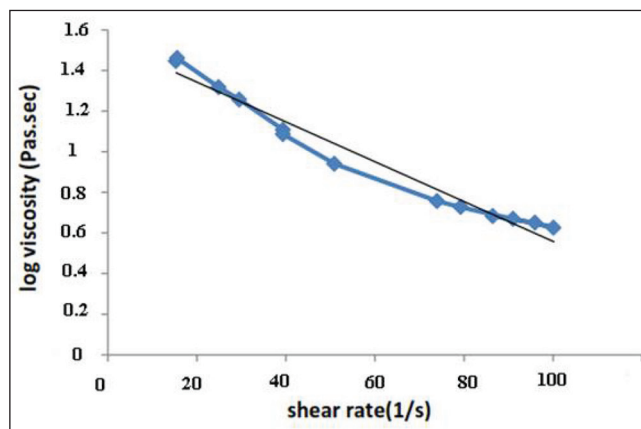


Figure 5: Logarithm of viscosity (Pa.sec) versus shear rate (1/s) for SMGel composed of 10% w/v of copolymer and 20% w/v of γ -CD

formulation through the needle. When there is no more stress on the system, it regains its initial viscose structure.

SEM imaging

The structural shape of the freeze-dried SMGel and the physical mixture of dexamethasone/ γ -CD/copolymer were analyzed by SEM.

As shown in Figure 6, in contrast to the physical mixture of the hydrogel component, the dehydrated SMGel exhibited porous, foam-like morphology.

XRPD

The x-ray powder patterns of the copolymer, γ -CD, dexamethasone, their physical mixture, and the SMGel formulations are shown in Figure 7.

Previously, it was shown that the reflection at $d = 4.46\text{\AA}$, $2\theta = 19.8$ is a characteristic peak, which is the identity of necklace-like structures.^[25]

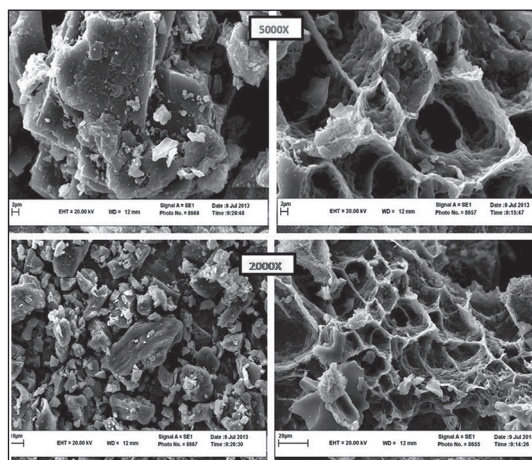


Figure 6: SEM image of freeze-dried hydrogel (right) and physical mixture of pure components (left)

As two polymer chains can be included within the large cavity of γ -CD, the degree of crystallinity of this SMGel is low and it shows more elasticity.

Therefore, in contrast to α -CD inclusion complexes, γ -CD inclusion complexes does not exhibit a sharp peak at $d = 4.46\text{\AA}$, $2\theta = 19.8$.

Differential scanning calorimetry (DSC)

The DSC experiment was performed to characterize the thermal behavior of SMGel as well as the physical mixture of the hydrogel component. The DSC thermogram of the copolymer, γ -CD, dexamethasone, as well as their physical mixture, and the dexamethasone-loaded SMGel is shown in Figure 8.

The endothermic peak related to copolymer was observed in the physical mixture of copolymer/ γ -CD, copolymer/ γ -CD/dexamethasone, and also pure copolymer.

However, the endothermic peak in the SMGel system was completely disappeared. The results obtained suggest that the SMGel formulation was appropriately prepared.

In vitro release

Figure 9 shows the release profile of dexamethasone through the SMGel in PBS pH 7.4 at 37°C.

As can be observed in the release profile, the formulation containing 0.1% dexamethasone released about 40-46% of the loaded drug after 23 days. However, the SMGel containing 0.5% dexamethasone released 100% of the drug up to 23 days.

Release of dexamethasone from the SMGel occurred slowly, with a slight burst release. Dexamethasone is a small molecule, so in addition to polymer degradation, diffusion occurs, as the main mechanism of release.^[37] It seems that the SMGel degradation started after approximately 23 days. On increasing

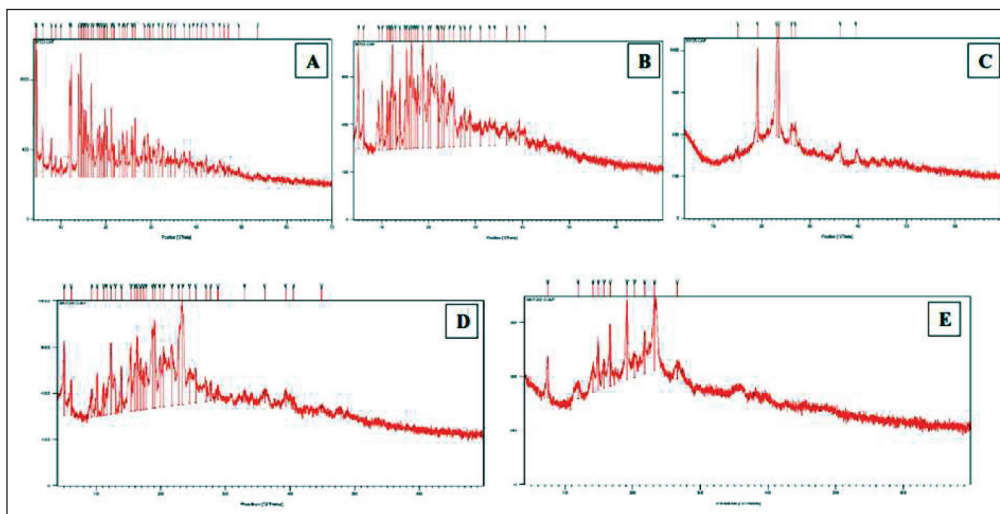


Figure 7: The x-ray powder pattern of dexamethasone (a), γ -CD (b), copolymer (c), physical mixture, (d) and dexamethasone-loaded SMGel (e)

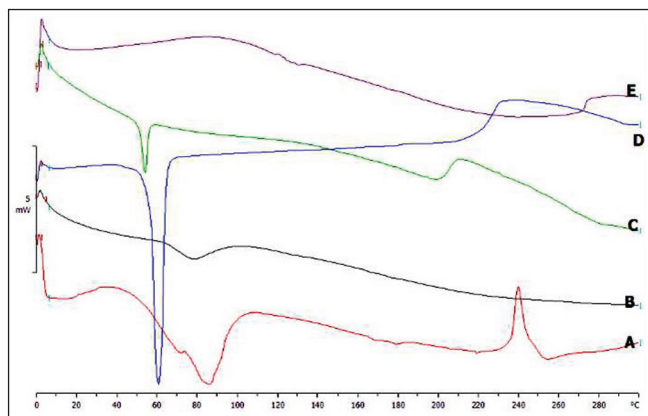


Figure 8: The DSC thermogram of dexamethasone (a), γ -CD (b), physical mixture of dexamethasone/copolymer/ γ -CD (c), copolymer (d), and dexamethasone-loaded SMGel (e)

the concentrations of the dexamethasone, the rate of release is increased significantly ($P < 0.05$) because of the higher concentration of the dexamethasone with high ionic charge within SMGel and, consequently, the higher water activity in the hydrogel matrix.^[38] In addition, bearing in mind Fick's diffusion equation, a higher concentration of solute causes faster release of it.

Table 3 indicates the kinetic profiles of dexamethasone that verified our hypothesis regarding the release mechanism. Considering the R^2 associated with the Higuchi and zero order models, both diffusion and degradation played important roles in the dexamethasone release profiles.^[39-41]

The Higuchi model was better fitted to obtain data of the release of drug, which is a small water-soluble molecule with high ionic charge. Reported slopes related to the rate of release indicated the rapid diffusion of drug molecules occurred at higher drug-loading concentration in approximately 23 days, which is before the time that visible erosion or degradation occurred in the formulations.

CONCLUSION

We have successfully developed SMGel self-assembled between PCL-PEG-PCL micelles and γ -CDs. The cooperative effect of coordination between complexation of PEG chains and γ -CDs and hydrophobic interaction of PCL blocks resulted in the formation of the network structure. We have clearly demonstrated the inclusion complex formation of the hydrogels by XRPD, SEM, and DSC studies. The hydrogel was thixotropic and could absorb large amounts of water, which made it promising for the preparation of a biocompatible drug delivery system.

Our SMGel design potentially allows for dexamethasone modalities into a single injectable, sustained delivery system, which is the focus of our future investigation.

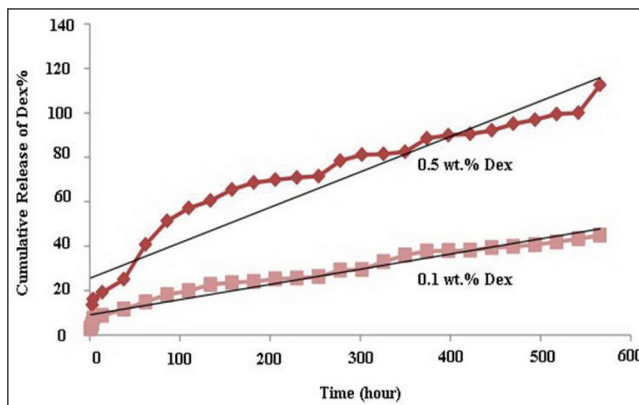


Figure 9: Release profile of dexamethasone-loaded SMGel composed of 10% (w/v) copolymer, 20% (w/v) γ -CD, and 0.5% or 0.1% (w/v) dexamethasone

Table 3: The kinetic release of dexamethasone from SMGel systems with different concentrations of dexamethasone

Formulations			Zero order		Higuchi	
Dexamethasone (%) (w/v)	Polymer (%) (w/v)	γ -CD (%) (w/v)	Slope	R^2	Slope	R^2
0.1	10	20	0.068	0.953	1.768	0.988
0.5	10	20	0.159	0.981	4.245	0.983

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Conflicts of interest

There are no conflicts of interest.

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