

# Investigation of moxifloxacin loaded chitosan–dextran nanoparticles for topical instillation into eye: *In-vitro* and *ex-vivo* evaluation

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## Abstract

**Introduction:** Management of ocular surface disease by conventional formulation is limited by poor residence of drug at cul-de-sac of eye. To overcome this limitation, prolonged released mucoadhesive chitosan (CS)–dextran sulfate (DS) nanoparticles (NPs) were investigated for the prolonged topical ophthalmic delivery of moxifloxacin (Mox). **Methods:** Formulation was optimized by 3-factors (CS, DS, and Mox concentration), 3-levels (–1, 0, +1) Box-Behnken design. Optimized formulation was characterized for various *in-vitro* attributes, including particles size, zeta potential, shape and morphology, *in-vitro* release profile, corneal permeation, corneal retention, ocular tolerance test as well as antimicrobial activity. **Results:** Average hydrodynamic particle size of statistically optimized formulation was found to be  $279.18 \pm 15.63$  nm with good polydispersity index,  $0.367 \pm 0.016$  and positive zeta potential,  $+31.23 \pm 1.32$ . NPs showed entrapment efficiency,  $72.82 \pm 3.6\%$  and transmission electron microscopic analysis revealed a spherical shape of particles. Formulation exhibited biphasic release profile with an initial fast release ( $\approx 25\%$  in 1<sup>st</sup> h) followed by sustained release ( $\approx 95\%$  in next 24 h) following Korsmeyer–Peppas model with a nonFickian diffusion process. Mox loaded CS-DS NPs exhibited a significantly higher ( $P < 0.01$ ), approximately 1.8-fold transcorneal permeation as well as significantly higher corneal retention ( $P < 0.01$ ), around 4-5-fold when compared to free solution. Developed formulation exhibited safety profile comparable to normal saline, which was revealed by ocular tolerance test (Hen's egg test-chorioallantoic membrane). Mox-CS-DS NPs exhibited significantly high ( $P < 0.01$ ) antimicrobial activity against *Staphylococcus aureus* and *Pseudomonas aeruginosa*. **Conclusion:** *In-vitro* and *ex-vivo* studies revealed that developed formulation could be a potential substitute for prolonged topical ocular delivery.

**Key words:** Chitosan, dextran, moxifloxacin, nanoparticles, ocular delivery, transcorneal permeation

## INTRODUCTION

Topical instillation is the most accepted, preferred and most convenient route for ocular drug delivery. Though, it is most challenging owing to its poor ocular bioavailability (<5%), primarily attributed to low corneal permeability, high tear turn over, transient residence time in the cul-de-sac, drug elimination via conjunctiva and sclera as well as naso-lachrymal

drainage.<sup>[1]</sup> Poor ocular bioavailability compels for frequent instillation to achieve desired therapeutic effect, sometimes which may lead to undesirable side effects. Effectiveness of drugs is closely related to their ocular bioavailability that can be enhanced by improving corneal penetration and prolonging precorneal residence time.<sup>[2]</sup> A variety of approaches such as prodrug design, permeation enhancing formulations, longer residence formulations<sup>[3]</sup> inserts,<sup>[4]</sup> collagen shields,<sup>[5]</sup> and colloidal systems such as liposomes,<sup>[6]</sup> nanoparticles (NPs),<sup>[2]</sup> and nanocapsules<sup>[7]</sup> have been designed and investigated to improve ocular bioavailability. The use of polymeric NPs is a smart approach to enhance the ocular bioavailability of topically administered drugs as they offer inimitable features, while preserving the ease of delivery.<sup>[8,9]</sup> Polymeric NPs have been investigated to enhance the corneal and conjunctival penetration of therapeutic drugs and peptides, to sustain drug level and reduce the systemic side effects.<sup>[9,10]</sup> Most commonly investigated polymers in ocular delivery are poly(alkyl cyanoacrylates), polycaprolactone, and poly(lactic acid)/poly(lactic-co-glycolic acid) chitosan (CS), Eudragit RL/Eudragit RS, polystyrene, and poly(acrylic acid).<sup>[11]</sup> Poly(lactic-co-glycolic acid) (PLGA)

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is an ideal biodegradable polymer for ocular delivery due to its biocompatibility, safety, regulatory approval, well tolerated in animal models and its potentialities in ophthalmology is well documented.<sup>[8-10]</sup> Several studies have revealed the effectiveness of PLGA NPs in enhancement of ocular bioavailability.<sup>[8-12]</sup> However, the short residence time of these nanosystems possess a major drawback in their therapeutic use.<sup>[13]</sup> Designing of a mucoadhesive carrier systems for ocular surface would be a sensible approach toward the management of surfacial ocular diseases. A wide range of mucoadhesive polymers reported in the literature, among them CS is promising polymer for topical ocular drug delivery owing to its unique properties such as mucoadhesion, tolerability, biodegradability and ability to enhance the paracellular transport of drugs.<sup>[14,15]</sup> In recent times, CS has been proposed as a biomaterial with promising potential for ocular drug delivery as its solutions revealed a prolonged corneal residence of antibiotics<sup>[16]</sup> and its coated nanocapsules exhibited more efficient intraocular penetration of drugs.<sup>[17,18]</sup> Prolonged residence time of CS NPs at the ocular mucosa of rabbits has been reported.<sup>[19]</sup> In addition, CS is reported to have antibacterial properties.<sup>[20]</sup> Hence, mucoadhesive CS NPs may have potential as colloidal drug delivery systems for the ocular mucosa.

Dextran sulfate (DS) is also a biocompatible, hemocompatible and nonimmunogenic polyanionic polymer comprises of highly branched polysaccharide with 1–6 and 1–4 glycosidic linkage. Electrostatic interaction between CS and DS leads to formation of DS-CS NPs. This method does not require any stabilizing or external cross-linking agents and exhibited good stability of formulations over those developed by using tripolyphosphate.<sup>[21]</sup> Various nanoformulations based on DS-CS NPs have been investigated for oral,<sup>[22]</sup> intravenous,<sup>[21]</sup> and controlled drug delivery.<sup>[23]</sup>

Moxifloxacin (Mox) is one of the fourth-generation broad spectrum fluoroquinolone antibiotics commonly used to treat ocular infections such as conjunctivitis, keratitis and keratoconjunctivitis.<sup>[24]</sup> It has been reported to have antimicrobial activity against Gram-negative bacteria like *Pseudomonas aeruginosa* equivalent to ciprofloxacin as well as ofloxacin, in addition it exhibited improved antimicrobial activity against Gram-positive bacteria also. Furthermore, Mox was found to be more effective than ciprofloxacin or levofloxacin against experimental keratitis in rabbits<sup>[25]</sup> and also showed better penetration into the inflamed ocular tissue of rabbits than ciprofloxacin, lomefloxacin, ofloxacin, or levofloxacin.<sup>[26]</sup> Hence, it could be better choice to treat the ophthalmic infections. However, it is commercially available as eye drops (0.5%) and requires frequent dosing for several days to achieve desired effect. Hence, the development of Mox encapsulated nanoformulations can be a sensible approach to enhance the ocular bioavailability, reduce the systemic side effects, dosing frequency, as well as better patient compliance. The present study is aimed at the development and statistical optimization of Mox encapsulated CS-DS mucoadhesive nanoparticulate formulation for ocular

delivery using Design Expert® (Version 9.0, Stat Ease Inc., Minneapolis, MN) by employing Box-Behnken statistical design.

## MATERIALS AND METHODS

### Materials

The polymer CS (CS) (medium molecular weight, 200-800 cp, 1 wt.% in 1% acetic acid solution, deacetylation degree 75-85%) was procured from Sigma Aldrich. DS having molecular weight 15 kDa, was purchased from Bio Basic Pvt. Ltd. (Canada). Mox was given ex gratia by Promed export Pvt. Ltd., India. Ultrapure water was obtained with MilliQ equipment (Waters, USA). All other solvents and materials used were of analytical grade.

### Preparation of buffer solutions

The composition of simulated tear fluid (STF), pH 7.4, was: Sodium chloride 0.670 g, sodium bicarbonate 0.200 g, calcium chloride dihydrate 0.008 g, and purified water q.s. 100 g.<sup>[27]</sup>

### Preparation of chitosan–dextran sulfate nanoparticles

Solutions of CS and DS were prepared separately by dissolving the polymers in distilled water. Briefly, a known amount of CS was dissolved in a solution of 2% v/v acetic acid solution followed by vacuum filtration before the preparation of NPs. Mox loaded CS-DS NPs were prepared by ionic gelation method.<sup>[20]</sup> Briefly, the aqueous solution of CS containing Mox was added drop by drop to the DS solution under continuous magnetic stirring at 1000 rpm for 1 h. CS-DS NPs were formed spontaneously by ionic interaction between two polymers. The concentration range of polymers and drug under study was selected on the basis of preliminary experimentation where three types of phenomenon were occurred: Almost clear solutions, opalescent suspensions and aggregates. The zone of opalescent was appropriate for preparing CS-DS NPs. Formulation was further examined and optimized using Box-Behnken statistical design, Design Expert® (Stat-Ease Inc., Version 9.0) and the concentrations of CS, dextran, and Mox were used as per the design [Tables 1 and 2].

### Box-Behnken design

A design matrix consist of 17 experimental runs, independent variables included in the study were CS concentration ( $X_1$ ), DS concentration ( $X_2$ ), and amount of Mox ( $X_3$ ). The dependent

**Table 1: Independent and dependent variables included in Box-Behnken design**

Independent variables	Levels		
	-1	0	1
$X_1$ =A: Concentration CS % (w/v)	0.025	0.0625	0.1
$X_2$ =B: Concentration DS % (w/v)	0.02	0.04	0.06
$X_3$ =C: Amount of Mox (mg)	1.00	3.00	5.0
Dependent variables	Constrain		
$Y_1$ =Size	Minimum		
$Y_2$ =EE	Maximum		
$Y_3$ =Zeta potential	≥30 mV		

CS: Chitosan, DS: Dextran sulfate, Mox: Moxifloxacin

**Table 2: Observed responses in Box-Behnken design for Mox CS-DS NPs**

Run	X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	Y <sub>1</sub> (nm)		Y <sub>2</sub> (%)		Y <sub>3</sub> (mV)	
				Actual	Predicted	Actual	Predicted	Actual	Predicted
1	0.1	0.04	1	370.36	369.27	58.13	57.84	36.96	36.43
2	0.025	0.04	5	256.36	257.45	59.63	59.92	1.32	1.85
3	0.0625	0.06	5	260.36	259.64	69.13	69.37	22.36	21.70
4	0.0625	0.02	1	263.23	263.95	49.32	49.08	32.62	33.27
5	0.1	0.06	3	365.63	366.75	75.62	75.72	42.36	42.85
6	0.0625	0.02	5	319.36	319.39	59.62	59.43	40.32	40.28
7	0.025	0.02	3	260.36	259.24	57.63	57.53	20.32	19.83
8	0.0625	0.04	3	268.61	269.16	70.52	69.25	29.96	30.64
9	0.0625	0.04	3	264.69	269.16	68.3	69.25	30.16	30.64
10	0.0625	0.06	1	285.75	285.72	58.12	58.31	12.36	12.40
11	0.0625	0.04	3	271.98	269.16	68.36	69.25	31.32	30.64
12	0.0625	0.04	3	269.89	269.16	68.96	69.25	30.62	30.64
13	0.025	0.04	1	223.65	224.05	50.62	50.96	-2.23	-2.40
14	0.1	0.02	3	390.69	391.06	63.59	64.12	50.36	50.23
15	0.0625	0.04	3	270.63	269.16	70.12	69.25	31.13	30.64
16	0.1	0.04	5	365.63	365.23	70.62	70.28	48.32	48.49
17	0.025	0.06	3	245.96	245.58	65.62	65.09	-12.35	-12.22

CS-DS NPs: Chitosan-dextran sulfate nanoparticles, Mox: Moxifloxacin

variables were the particle size ( $Y_1$ ), encapsulation efficiency ( $Y_2$ ) and zeta potential ( $Y_3$ ). Independent variables and their level (low, medium, and high levels) under the study are shown in Table 1, which were selected on the basis of observations of preliminary experimentation. As per the recommendation of design expert, formulations were prepared and observations are shown in Table 2.

### Optimization and model-validation

ANOVA provision available in the software was used to ascertain the statistical optimization of the polynomial equations obtained by Design Expert®. Software was used to identify the optimum values for particle size (minimum), entrapment efficiency (maximum), and zeta potential (positive). Formulations were prepared as per recommendation and evaluated for various response properties. The obtained values of responses were compared with that of the predicted values to calculate the percentage optimization error.

### Characterization of developed formulation particle size and zeta potential

Particles size, its distribution and zeta potential were determined using photon correlation spectroscopy (Zetasizer, HAS 3000; Malvern Instruments, Malvern, UK). The size distribution analysis was conducted at a scattering angle of 90° and at a temperature of 25°C. Zeta potential was measured using a disposable zeta cuvette. For each sample, the mean size and zeta potential were performed in triplicate.

### Particle morphology

Particle morphological analysis was conducted by using transmission electron microscopy (TEM) (Philips CM-10, Eindhoven, The Netherlands). Formvar-coated copper grid

(Plano GmbH, Wetzlar, Germany) was dipped into the NPs suspension, removed and air dried followed by staining with 2% w/v phosphor-tungstic acid solution. Digital Micrograph and Soft Imaging Viewer software (Olympus, Singapore) were used for image capturing and analysis.

### Encapsulation efficiency

The entrapment efficiency of Mox-CS-DS NPs was determined by ultracentrifuge filtration method with slight modifications using Amicon R ultracentrifuge filtration tubes.<sup>[28]</sup> It consists of detachable donor and receiver compartment separated by a semi-permeable membrane (molecular weight cutoff of 12 KD). Diluted formulation was then placed in the upper compartment of the centrifuge filtration tube and centrifuged for 15 min at 3000 rpm. NPs were retained over the membrane. Filtrate was analyzed by UV-VIS, spectrophotometer (Double beam, Shimadzu, Japan). Entrapment efficiency was determined in triplicate and calculated as follows:

$$\% \text{ Entrapment efficiency} = \frac{\text{Total amount of moxifloxacin-free moxifloxacin} \times 100}{\text{Total amount of moxifloxacin}}$$

### In-vitro release analysis

Nanoparticles were dispersed in 5 mL of ultrapure water and placed in a dialysis membrane bag with a molecular cutoff of 12 kDa, tied and placed into 50 mL of STF. The entire system was kept at 32 ± 0.5°C with continuous magnetic stirring (25 rpm), pH 7.4. At scheduled time intervals, 3 mL of sample was withdrawn and replaced with 3 mL fresh medium to maintain sink conditions. The amount of Mox in the release medium was determined by UV-VIS, spectrophotometer (Double Beam, Shimadzu, Japan). All measurements were conducted in triplicate. *In-vitro* release profile of mucoadhesive Mox-loaded

CS-DS NPs was compared with release profile of the marketed Mox eye drops under similar conditions.

### Ex-vivo transcorneal permeation study

Trans-corneal permeation potential of Mox through CS-DS nanoparticulate system was evaluated by using excised goat cornea with slight modification as reported.<sup>[9]</sup> Cornea was isolated from goat eyes procured from freshly slaughtered animals at a local abattoir. The study was carried out in a modified Franz diffusion chamber. The excised goat cornea was mounted between donor and receiver compartments facing epithelial surface to donor compartment of the Franz diffusion cell. The donor compartment was charged with 100  $\mu$ L of commercially available marketed eye drops or Mox-CS-DS NPs suspended in 100  $\mu$ L STF. The receiver compartment was filled with freshly prepared STF. Study was carried out at  $32 \pm 0.5^\circ\text{C}$ . Periodically, samples were collected for up to 4 h and subjected to quantification of Mox by UV-VIS, spectrophotometer (Double beam, Shimadzu, Japan).

### Corneal retention of chitosan-dextran sulfate nanoparticles quantitative and qualitative evaluation

Ex-vivo corneal retention of CS-DS NPs was performed with slight modification as reported.<sup>[29]</sup> It was performed on corneas isolated from goat eyes procured from freshly slaughtered animals at a local abattoir. 1 mL of STF, prewarmed to  $32^\circ\text{C}$ , was placed in donor compartments and 5 mL in receiver compartment for 15 min to stabilize the corneal tissue. The STF of the donor compartment was then replaced with 100  $\mu$ L aqueous suspension of dye loaded CS-DS NPs (rhodamine equivalent to 2  $\mu$ g) or rhodamine solution in STF and allowed for 1, and 4 h for possible interaction between formulations and cornea. After specified, time interval cornea was removed out and gently rinse with STF. Ocular retention of the formulation was quantitatively evaluated by spectrofluorimetric analysis of rhodamine. Before analysis, treated corneas were removed, homogenized followed by extraction with butanol as an extraction solvent. Resultant homogenate was centrifuged at 15,000 rpm for 15 min then subjected to quantitative analysis. The studies were performed in triplicate.

In order to evaluate the retention qualitatively, corneal tissue obtained from ex-vivo retention study were directly mounted keeping epithelial side up, on a glass slide and examined under fluorescent microscope (Nikon).

### Ocular tolerance test (Hen's egg test-chorioallantoic membrane)

Ocular tolerability of the CS-DS NPs was evaluated by modified Hen's egg test-chorioallantoic membrane (HET-CAM) test as reported.<sup>[30]</sup> It has been reported to be a good qualitative tool for assessment of irritant potential of chemicals. Irritant potential of formulations is assessed in terms of adverse changes that occur in the chorioallantoic membrane (CAM) of the egg after exposure to test chemicals.<sup>[31]</sup> Briefly, freshly collected fertile hen's eggs were incubated at  $37 \pm 0.5^\circ\text{C}$  and  $40\% \pm 5\%$  relative humidity

for 3 days. Eggs were turned every day during incubation. Eggs not showing live embryo, intact yolk and showing damaged CAM were discarded. On day 10 of incubation, a window (2 cm  $\times$  2 cm) was made at equator of the egg. Test formulation (0.5 mL) was gently put onto the surface of the CAM. Sodium hydroxide (0.1 M) and normal saline were used as positive and negative control, respectively. After treatment (for 5 min), membranes were examined for the vascular responses and the time taken for injury. The scores were recorded according to the scoring schemes.<sup>[9]</sup>

### Antimicrobial activity

The antimicrobial efficacy of the Mox loaded CS-DS NPs was evaluated against a Gram-positive microorganism (*Staphylococcus aureus*) and Gram-negative microorganism (*P. aeruginosa*) and compared with marketed eye drops as well as placebo NPs. Molten agar media was poured aseptically into sterilized petri plates, seeded with respective micro-organism and left to solidify. Cups were made by using sterilized stainless steel cup borer (4 mm diameter) and filled with 100  $\mu$ L Mox eye drops (0.5%). Mox loaded NPs suspended in 100  $\mu$ L normal saline (equivalent to 100  $\mu$ L Mox eye drops) were also evaluated for same microbes. Similar procedure was followed for placebo NPs and normal saline. After inoculation of samples, plates were left at room temperature to diffuse the samples. Finally, plates were then incubated for 48 h at  $37 \pm 0.5^\circ\text{C}$ . The diameter (mm) of the zone of growth inhibition was measured using a vernier caliper. Antimicrobial activity studies were conducted in triplicates.

## RESULTS AND DISCUSSION

### Preparation and optimization of moxifloxacin loaded chitosan-dextran sulfate nanoparticles by Box-Behnken design

Moxifloxacin loaded CS-DS NPs were formed instantaneously due to the co-acervation reaction between positively charged CS and negatively charged DS.<sup>[20]</sup> The co-acervation reaction involves the electrostatic interaction between the protonated amino groups of CS and sulfate groups of DS.<sup>[20]</sup> The size and entrapment efficiency of the prepared NPs was found to be affected upon varying the drug and polymer concentrations. Preliminary experiment was performed to screen the appropriate concentration of drug and polymer to achieve maximum entrapment, minimum particles size, and positive zeta potential. Selected concentration range of CS and DS were 0.025-0.10% w/v and 0.02-0.06% w/v, respectively and amount of drug taken in the range of 1-5 mg. Electrostatic interaction was reported to be the main mechanism for mucoadhesion between CS and mucin. In addition, hydrogen bonding and hydrophobic effects also imparts a significant role. This indicates that CS nanoformulation with a positive zeta potential would be more effective *in-vivo* than negatively charged CS.<sup>[32]</sup> Hence, at all the level (low, medium, and high), the dextran concentrations were kept lower than CS concentrations [Table 1] because at higher dextran concentration negative zeta potential was observed which can be attributed to sulfate group present in DS.



Employing of experimental design allows for testing a large number of independent variables simultaneously and reduces the number of experimental runs. A systematic optimization procedure involves the selection of an objective function, factors (independent variables) affecting responses (dependent variables) and investigation of relationship between responses. Objective functions for the present study were maximum encapsulation efficiency, minimum particles size and positive zeta potential. Box-Behnken design was employed for the statistical optimization of formulation parameters and evaluates the main effects, interaction effects and quadratic effects of the formulation ingredients on the encapsulation efficiency, particles size and zeta potential of NPs. A 3-factors, 3-levels design was used to explore the quadratic response and for constructing second order polynomial models using Design Expert (Version 9.0, Stat-Ease Inc.). The Box-Behnken design was selected for the optimization as it requires lesser number of experimental runs than other design at the same number of factors and same levels.<sup>[33]</sup>

The quadratic equations were generated from the observations of experiments by applying software, Design Expert version 9.0, Stat Ease Inc., Minneapolis, MN The generated equations are given below and in these equations only significant term has been included.

$$Y_1 = 269.16 + 63.25X_1 - 9.49X_2 + 7.34X_3 - 2.67X_1X_2 - 9.36X_1X_3 - 20.38X_2X_3 + 34.16X_1^2 + 12.34X_2^2 + 0.68X_3^2$$

$$Y_2 = 69.2 + 4.31X_1 + 4.79X_2 + 5.35X_3 + 1.01X_1X_2 + 0.87X_1X_3 + 0.18X_2X_3 - 1.47X_1^2 - 2.17X_2^2 - 8.03X_3^2$$

$$Y_3 = 30.64 + 21.37X_1 - 9.86X_2 + 4.08X_3 + 6.17X_1X_2 + 1.95X_1X_3 + 0.578X_2X_3 - 5.65X_1^2 - 0.18X_2^2 - 3.9X_3^2$$

Design suggested the quadratic model for all the three responses, particles size ( $Y_1$ ), entrapment efficiency ( $Y_2$ ) and zeta potential ( $Y_3$ ). For the response  $Y_1$ , the Model F-value 851.17 implies the model is significant ( $P < 0.0001$ ). In this case  $X_1$ ,  $X_2$ ,  $X_3$ ,  $X_1X_2$ ,

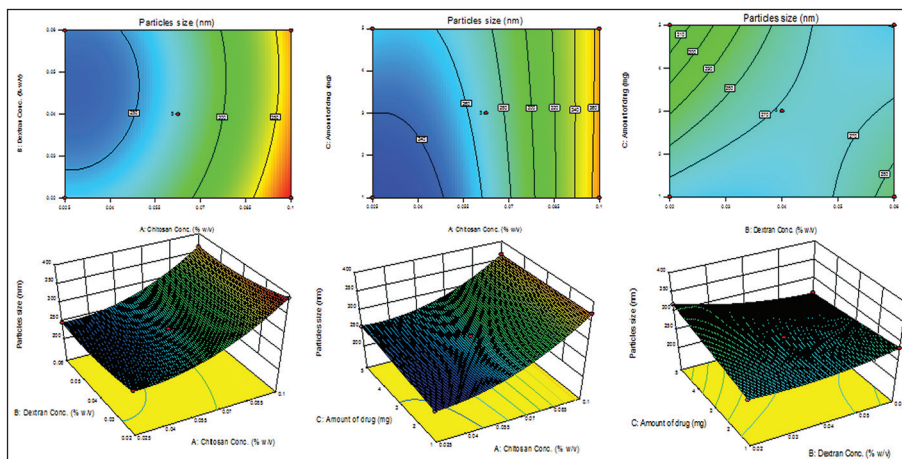
$X_2X_3$ ,  $X_1^2$ , and  $X_2^2$  are significant model terms. The lack of fit  $F$  value 0.28 was not significant ( $P < 0.837$ ). Nonsignificant lack of fit is good for the model to fit. Similarly, for response  $Y_2$ , the model  $F$  value 129.43 implies that the model is significant ( $P < 0.0001$ ) and the lack of fit  $F$  value 0.38 ( $P < 0.775$ ) is not significant. Model  $F$  value 1136.96 ( $P < 0.001$ ) and the lack of fit  $F$  value 1.9 ( $P < 0.2715$ ) was observed for zeta potential ( $Y_3$ ). Adequate precision represent the precision signal to noise ratio and must be  $>4$  to navigate design space. Adequate precisions were found to be, 94.13, 39.82, and 117.19 for  $Y_1$ ,  $Y_2$ , and  $Y_3$ , respectively. Summary of regression analysis has been shown in Table 3.

Positive values reflect the synergistic relationship, while negative values represent an antagonistic effect. Synergistic effect means an effect that favors a higher value, and antagonistic effect means an effect that favors the lower values.<sup>[33]</sup> The relationship between dependent and independent variables can be better understood in Figures 1-3, the contour plots and response surface analysis generated by the same software, Design Expert version 9. Upon increasing the CS concentration particles size was increased which can be attributed to net increment in inter-molecular repulsive force due to the increased amino group of CS, however, reduction in particles size was observed upon increasing the dextran concentration, it can be attributed to electrostatic attraction between positive charge of CS and negative charge of dextran sulfate resulting into shrinkage of the particles. Positively charged particles exhibited bigger size, while

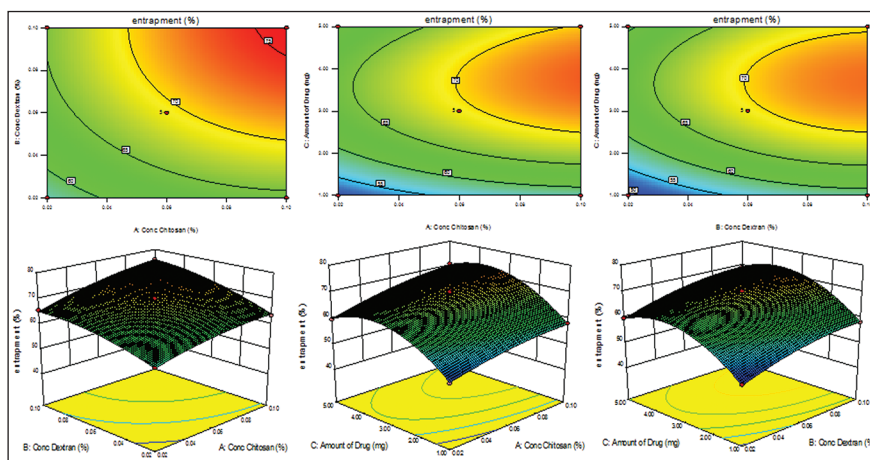
**Table 3: Summary of regression analysis for the responses  $Y_1$ ,  $Y_2$ , and  $Y_3$  for the quadratic model**

Quadratic model	$R^2$	Adjusted $R^2$	Predicted $R^2$	SD	Percentage of CV
Response ( $Y_1$ )	0.9991	0.9979	0.9963	2.31	0.79
Response ( $Y_2$ )	0.9940	0.9863	0.9716	0.87	1.37
Response ( $Y_3$ )	0.9993	0.9984	0.9931	0.69	2.65

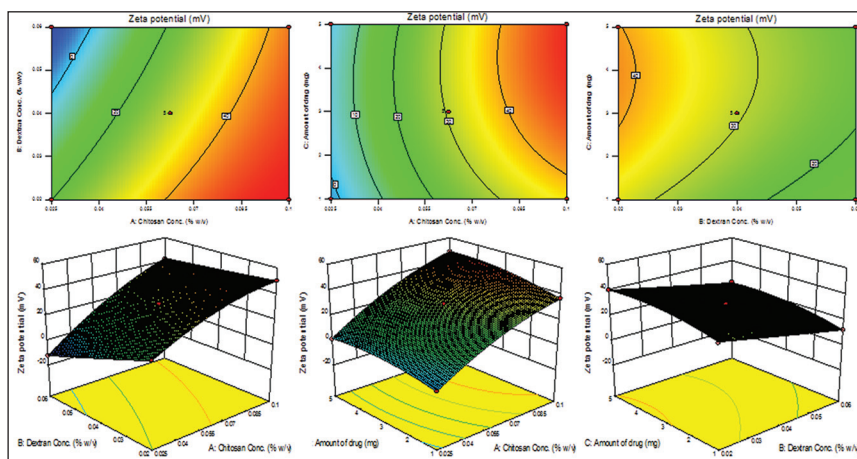
SD: Standard deviation, CV: Coefficient of variation



**Figure 1:** Contour plots and response surface diagrams representing the effects of different independent variables on the particle size of the chitosan-dextran sulfate nanoparticles



**Figure 2:** Contour plots and response surface diagrams showing the effects of different independent variables on the entrapment efficiency of the chitosan–dextran sulfate nanoparticles



**Figure 3:** Contour plots and response surface diagrams showing the effects of different independent variables on the zeta potential of the chitosan–dextran sulfate nanoparticles

negatively charged particles showed smaller size because of the charge on the molecules affects their expansion – contraction/ folding – unfolding which decides their conformational structure and size.<sup>[34]</sup> Results are in corroboration with previous reports.<sup>[35-37]</sup> As in the case of CS, upon increasing the amount of drug, particles increased which can also be attributed to the net increment in positive charge due to the cationic nature of drug.

Similar to particles size, CS, DS and drug concentration imparted a significant effect on entrapment efficiency. Upon increasing CS and DS concentration entrapment efficiency increased, it can be attributed to increase viscosity of CS that retarded the rate of diffusion as well as increased particles size possess a longer diffusion path length which resulting in net reduction in leaching of drug. Plausible mechanism behind the improved entrapment upon increasing DS concentration is the ionic interaction between drug (cationic) and DS (anionic) which improve the entrapment of drug within the particles by forming a complex. As the amount of drug was increased, entrapment efficiency was increased initially then decreased probably due to the insufficient

number of polymer molecules (CS and DS) required to hold the drug molecules.

Surface charge of the particles is largely affected by the groups present on the surface of the particles. Net charge on the particles is the resultant of counterbalancing of groups present on the surface. Particles acquired the positive charge upon increasing the CS and drug concentration which can be explained by cationic nature of drug and polymer. While particles surface charge reverted (negatively charged) upon increasing the dextran concentration which can be attributed to sulfate group. From the above discussion it is concluded that size, entrapment, and zeta potential is adjustable which was further optimized by software design.

### Optimization and validation of design

Design Expert® software was used to find out the optimum values of particle size (minimum), entrapment efficiency (maximum), and zeta potential. Suggested values of CS concentration, dextran concentration and amount of drug were 0.07% w/v, 0.055% w/v,

and 3.92 mg, respectively. For these values, the predicted particle size, entrapment, and zeta potential were 278.46 nm, entrapment 73.45%, and 30.01. These predicted values for the independent variables were further validated by performing the experiment and obtained values of dependent variables (responses) were found to be  $279.18 \pm 15.63$  nm and  $72.83 \pm 3.6\%$  ( $n = 3$ ) and  $31.23 \pm 1.32$  mV. The obtained values are in the close proximity to the predicted values, and the low values of the standard deviation confirm the reproducibility of the method.

### Characterization of developed formulations

Formulation developed for preliminary trial as well as recommended by Box-Behnken design was evaluated for their particle size, size distribution, entrapment efficiency and zeta potential. Particles sizes and entrapment efficiency were found to be in the range of 223.65-390.69 nm and 49.32-75.62%, respectively [Table 2]. Mox loaded CS-DS NPs exhibited positive as well as negative zeta potential,  $-12.36$  to  $50.36$  mV. The particles size of statistically optimized formulation was found to be  $279.18 \pm 15.63$  nm with a polydispersity index  $0.367 \pm 0.016$  and its distribution is depicted in Figure 4a. Formulation was further characterized for zeta potential, shape and morphology. Zeta potential of the formulation was found to be  $+31.23 \pm 1.32$  mv [Figure 4b] which can be attributed to the positive charge of CS as well as amine group of drug. The shape of particles was analyzed by TEM, which revealed discrete spherical shape and appearing dark [Figure 4c]. TEM images revealed the particles size from 200 nm to 300 nm. The result of TEM was in agreement with the particle size measured by dynamic light scattering. Entrapment efficiency of the optimized formulation was found to be  $72.82 \pm 3.6\%$ .

### In-vitro release studies

Moxifloxacin loaded CS-DS NPs exhibited an initial burst release ( $24.23 \pm 1.82\%$  in 1 h) followed by a more gradual and sustained release ( $94.36 \pm 6.36\%$  in 24 h) in STF s [Figure 5]. Marketed conventional eye drops exhibited very fast release; more than 92% within 30 min. Results are suggesting that the developed NPs can be a good tool for sustained drug release. Release pattern could be advantageous, initial burst release would be beneficial in terms of achieving minimum inhibitory concentration (MIC) of the drug in minimal time followed by sustained release to maintain the MIC for a prolonged period. The initial fast release of Mox can be attributed to rapid hydration of NPs due to the hydrophilic nature of CS and dextran as well as drug present at the surface of the particles. Sustained effect can be explained by the presence of the drug within the core of the particles. The release medium penetrates into the particles and dissolves the entrapped drug which further diffuses out into the dissolution media. Overall curve fitting [Table 4] revealed that the drug release from mucoadhesive CS-DS NPs followed Korsmeyer–Peppas model with a critical value of  $n$  0.56 suggesting nonFickian diffusion process. Sustained effect can be further supported by the sequential process including polymer hydration, solvent penetration, drug dissolution and/or polymer erosion determining the drug release from hydrophilic matrices.<sup>[38]</sup>

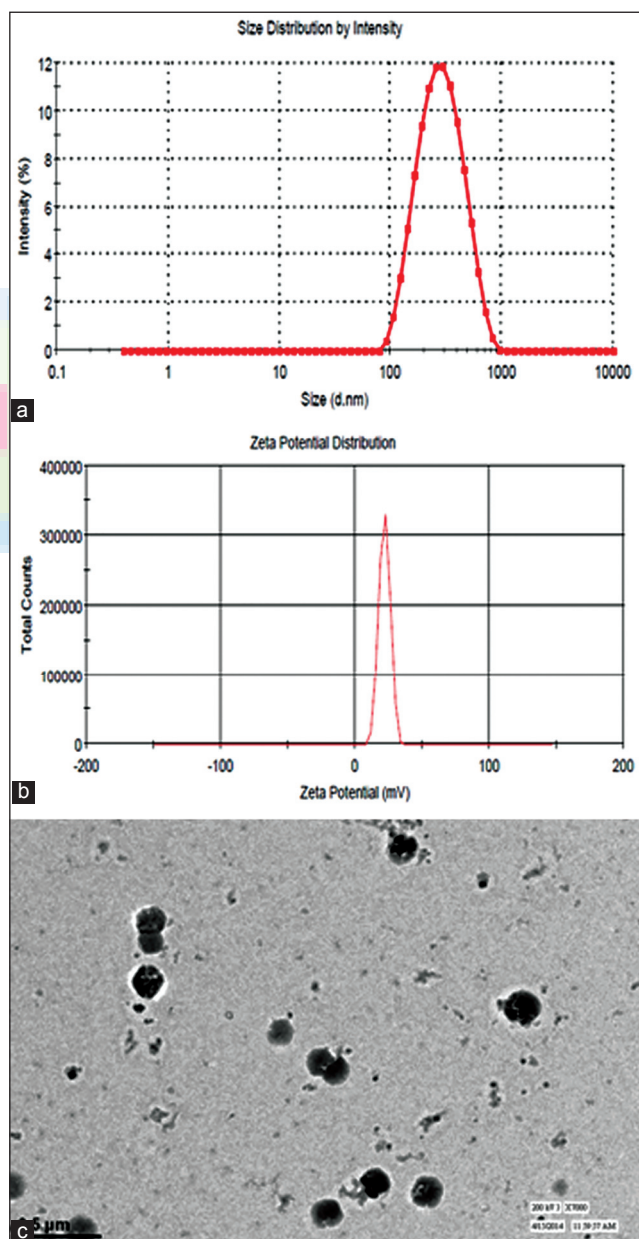
### Ex-vivo transcorneal permeation study

The Mox loaded CS-DS NPs exhibited significant enhancement in permeation ( $33.36 \pm 1.86\%$ ) than marketed Mox eye drops,

**Table 4: In-vitro release model and their  $R^2$  obtained after fitting in-vitro release data**

Formulation	Model	Formula	$R^2$
Mox-CS-DS NPs	Zero order	$m_0 - m = kt$	0.795
	First order	$\ln m = kt$	0.973
	Higuchi	$m_0 - m = kt^{1/2}$	0.956
	Korsmeyer–Peppas	$\log(m_0 - m) = \log K + n \log t$	0.981

CS-DS NPs: Chitosan–dextran sulfate nanoparticles



**Figure 4:** (a) Size distribution curve, (b) Zeta potential curve, (c) Transmission electron micrograph of statistically optimized formulation



18.36 ± 1.2% in 4 h [Figure 6] which is approximately 1.8-fold ( $P < 0.01$ ). Results are in agreement with the previous report.<sup>[39]</sup> Improved permeation can be attributed to prolonged retention owing to mucoadhesion of NPs because of ionic interaction between positively charged amino group of CS and negatively charged mucin available at the surface of the cornea.<sup>[40]</sup> In addition, endocytic uptake of NPs as well as improved transport of free drug via para-cellular route due to widening of tight junction in the presence of CS.<sup>[15]</sup>

### Corneal retention of chitosan–dextran sulfate nanoparticles quantitative and qualitative evaluation

In order to assess the quantitative corneal retention of the CS-DS NPs, rhodamine (fluorescent probe) content in cornea at 1 and 4 h of postincubation was determined and compared with retention of free rhodamine solution [Figure 7]. Results of the study showed remarkably different behavior of the Rd-NPs as compared to Rd solution. Rhodamine content in the cornea treated with Rd-CS-DS NPs was significantly high as compared to cornea treated with rhodamine solution ( $P < 0.01$ ) at all the time point. It can be attributed to endocytosis of NPs, electrostatic interaction and mucoadhesion of CS NPs at the corneal surface.<sup>[19,41]</sup> However, in case of Rd-solution, Rd content was increased from 6.36% to 9.16% at 4 h. It can be attributed to more accumulation of Rd in between the layers of the cornea at 4 h of postincubation. Opposite to Rd-solution, Rd content got reduced from 32.46 to 21.3% at 4 h into the cornea treated with Rd-CS-DS NPs. It can be explained

by significant permeation of rhodamine across the cornea, in addition reduction in mucoadhesion as the time increased.

Qualitative evaluation was done by using a fluorescent microscope, cornea after the treatments were visualized under  $\times 20$ . A quite diffuse and less intense fluorescence was seen at the surface of the cornea treated with rhodamine solution. However, dense and intense fluorescence was observed at the surface of the cornea subjected to corneal Rd-CS-DS NPs [Figure 8]. It can be explained by similar mechanism as discussed above.

### Ocular tolerance test (Hen's egg test-chorioallantoic membrane)

Eye is a delicate organ and very sensitive to foreign materials or chemicals. Keeping the safety aspect in consideration, Mox loaded CS-DS NPs were evaluated by HET-CAM which is a rapid, sensitive and inexpensive test, as it is a borderline case between *in-vivo* and *in-vitro* condition hence, does not possess any conflict with the ethical and legal obligations. The CAM of the chick embryo is a complete tissue including veins, arteries, and capillaries and responds to injury with a complete inflammatory process similar to that induced in the conjunctival tissue of rabbit eyes.<sup>[31]</sup> The developed formulation

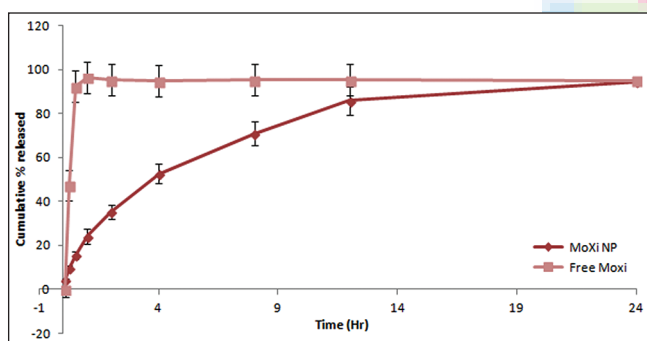


Figure 5: In-vitro release profile of statistically optimized formulation

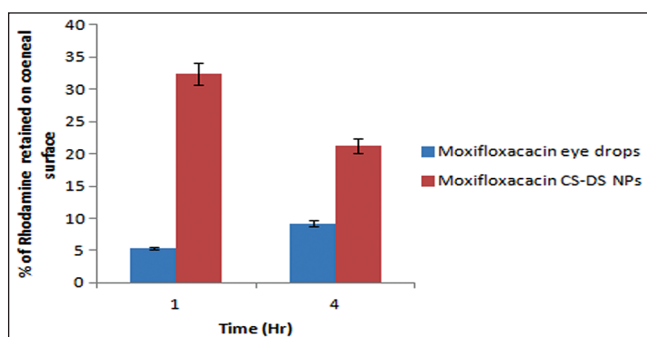


Figure 7: Quantitative representation of corneal retention of rhodamine free solution and rhodamine loaded chitosan–dextran sulfate nanoparticles

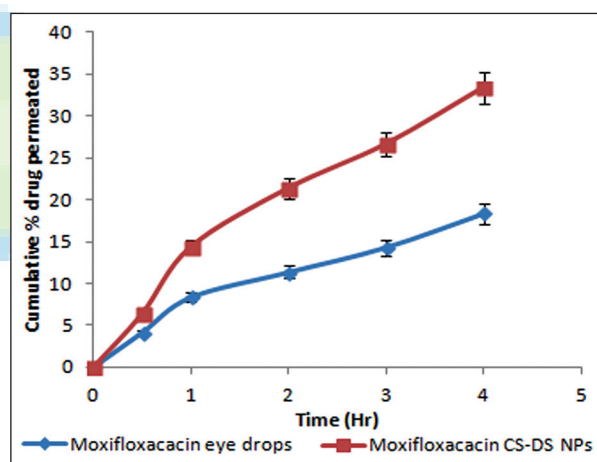


Figure 6: In-vitro transcorneal permeation profile of marketed eye drops and the optimized formulation

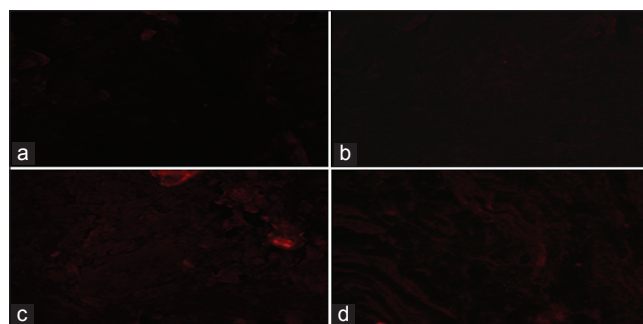
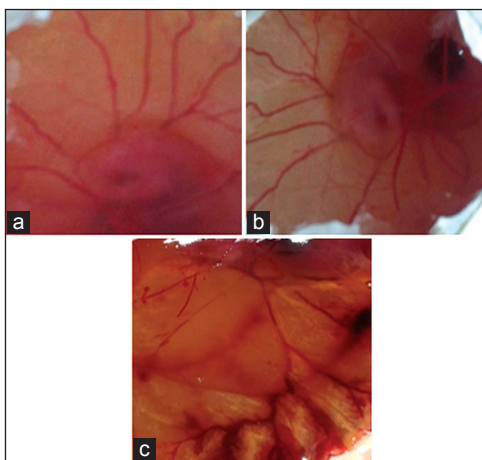


Figure 8: Qualitative representation of corneal retention: (a) Rhodamine free solution at 1 h, (b) Rhodamine free solution at 4 h, (c) Rhodamine loaded chitosan–dextran sulfate nanoparticles (CS-DS NPs) at 1 h, (d) Rhodamine loaded CS-DS NPs at 4 h





**Figure 9:** Microscopic images of Hen's egg test-chorioallantoic membrane after the treatment: (a) Normal saline, (b) Mox loaded chitosan-dextran sulfate nanoparticles, (c) 0.1 M NaOH

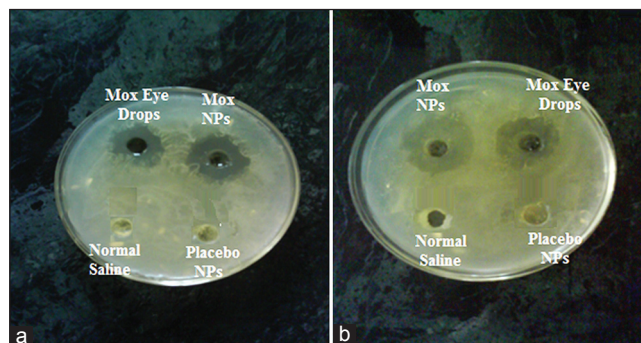
was evaluated, and results were compared with negative control (normal saline) supposed to be practically nonirritant and positive control (0.1 M NaOH) supposed to be practically irritant [Figure 9]. The mean score of normal saline, 0.1 M NaOH and CS-DS NPs were found to be 0, 4.66, 0 respectively. Zero score for developed formulations suggest its nonirritant potential. Nonirritant potential can be attributed to its biocompatibility and nonimmunogenicity of CS and dextran, the natural polymers.

### Antimicrobial activity

Antimicrobial activity of Mox loaded CS-DS NPs was compared with marketed eye drops at the same strength against Gram-negative (*P. aeruginosa*) and Gram-positive (*S. aureus*) bacteria by cup plate technique. Zone of inhibition was used as an assessment parameter. Zone of inhibitions for marketed eye drops and Mox-CS-DS NPs were found to be  $13.43 \pm 0.65$  and  $16.63 \pm 0.83$  mm, respectively, against *P. aeruginosa*. Similarly, Mox-CS-DS NPs exhibited higher zone of inhibition ( $11.86 \pm 0.44$  mm) as compared to Marketed eye drops ( $10.23 \pm 0.36$  mm) against *S. aureus*. In both the cases, zone of inhibition was found to be significantly high,  $P < 0.01$ . However, no zone of inhibition was observed in the case of normal saline as well as placebo NPs [Figure 10]. Higher zone of inhibition with respect to drug loaded NPs can be attributed to sustained release of drug from the NPs could help to maintain the MIC for a prolonged period of time.

### CONCLUSION

Mox loaded CS-DS NPs were successfully developed and optimized by statistical design, Box-Behnken, Design Exper Software®. Formulation exhibited particles size in a nanorange with good uniformity and entrapment efficiency. In addition, formulation revealed prolonged release profile with significantly high transcorneal permeation as well as high corneal retention. Formulation exhibited comparable safety profile with normal saline and significantly higher antimicrobial activity than



**Figure 10:** Representation of zone of inhibition for different formulations against: (a) *Staphylococcus aureus* and (b) *Pseudomonas aeruginosa*

marketed eye drops against both Gram-positive and Gram-negative bacteria. Developed formulation could be a viable substitute for conventional eye drops by virtue of its mucoadhesion, sustained drug release profile, and ease of administration. However, to bring it into clinically viable form stringent clinical studies are needed to be done.

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