

Nanotechnology-based Therapeutic Strategies for Breast Cancer

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ABSTRACT

Breast cancer is the most prevalent cancer among women and is considered a societal burden. The current treatment strategies are limited to chemotherapy, radiotherapy, and surgery, governed by the tumor's size, stage, and properties of biomarkers. Nanotechnology can be used as an alternative and focused approach for treating various cancers. It provides a more effective and less toxic treatment regime than other conventional methods. It has gained enormous attention to precisely diagnose and treat cancers. Nanocarriers are ideally engineered to target the cancer cells specifically, thus improving the drug's efficacy. They can overcome the problems associated with conventional drug delivery, non-specific to cancer cells, and have severe side effects. Since these nanocarriers have higher biocompatibility and therapeutic efficiency, they have shown promising results in cancer therapy. Although several nanocarriers are being developed and validated, only a few have been approved for clinical trials in cancer treatment. This review focuses on nanocarriers used in the

drug delivery system and their applications in breast cancer treatment. The conventional biomarkers for early diagnosis of breast cancer and their molecular profiling by nanoparticles are also discussed. This review helps understand the promising use of nanoparticle-based technology in breast cancer treatment.

Keywords: Breast Cancer, Nanocarriers, Drug-delivery, Biomarkers, Liposomes, Polymeric nanoparticles.

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INTRODUCTION

Breast cancer is the most common cancer and a leading cause of cancer-associated death in women.¹ Approximately 1.7 million people are diagnosed with breast cancer, and it accounts for 450,000 estimated deaths worldwide per annum.² Despite many treatment options and targeted therapies, the mortality rate remains high. Breast cancer cells metastasize commonly to lymph nodes, liver, lungs, and bone.³ Some common breast cancer biomarkers are progesterone, estrogen, and Human Epidermal Growth Factor Receptor-2 (HER2).⁴ Their expression pattern plays a crucial role in breast cancer etiology and is also an important genetic marker and target for the therapy.⁵ The treatment strategies highly depend on the tumor's receptor status and stage.³ Traditional therapies include chemotherapy, radiotherapy, surgery, and adjuvant endocrine therapy and have a partial impact on the patient's survival rate.⁶⁻⁷

Nanotechnology is a rapidly developing field bringing promising strategies for diagnosing and treating cancer.⁷ The application of nanomaterials in cancer diagnosis and treatment is known as nanotechnology.⁸ Several nanomaterials or nanocarriers are used to precisely deliver the chemotherapeutic drugs to minimize the toxicity to healthy cells.⁹ Nanoparticles or nanomaterials have incredible potential in cancer therapeutics due to their size, shape, and surface chemistry. The nanoparticles used for drug delivery in breast cancer treatment are shown in Figure 1.

Food and drug administration (FDA) have approved the nanotechnology-based drug doxil®, which is a novel PEGylated liposomal (poly-ethylene glycol coated) doxorubicin formulation for the treatment of breast and ovarian cancers.⁷ Other drugs approved by the FDA for breast cancer are Myocet (Liposome encapsulated-doxorubicin), LEP-ETU, EndoTAG-1, Lipoplatin, Genexal-PM, Nektar -102.¹⁰ Nanoparticle loaded with chemotherapeutic drugs has the advantage of efficient target site

delivery and could potentially circumvent the drug resistance in cancer cells.¹¹ This review discusses nanoparticles-based systems used in the diagnosis and treatment of breast cancer. Further, it also highlights the biomarkers used to diagnose breast cancer and reviews their limitations and challenges in clinical applications.

CONVENTIONAL METHODS OF BREAST CANCER TREATMENT AND THEIR LIMITATIONS

The standard methods to diagnose breast cancer include clinical examination, imaging, and pathological assessment. The clinical or physical examination techniques involve family medical history, menopausal status, blood count, liver and kidney function analysis, and manual palpation. The pathological assessment includes collecting and staining biomarkers in tissue biopsy obtained from patients, whereas the imaging technique involves breast ultrasound and mammography.¹² The prognosis and treatment depend on the tumor's size, location, immunohistochemistry (IHC), and histology studies.¹³ Women with BRCA1 and BRCA2 mutations have an increased risk for breast cancer, and their management includes prophylactic bilateral total mastectomy and reconstruction to reduce the risk. The HER2-positive patients are treated with trastuzumab combined with other chemotherapeutic agents.¹⁴⁻¹⁵

However, these treatment strategies have limitations of lack of specificity and significant toxicity to the normal cells. Further, solid tumors form a barrier in transcapillary transport, leading to low penetration of drugs and, consequently, biodistribution.¹⁵ Previous studies have shown that the drug accumulates 10 to 20 times higher in normal cells than the cancer cells proving that many anti-tumor drugs cannot cross 40 mm thick tissues. Subsequently, this leads to multi-drug resistance

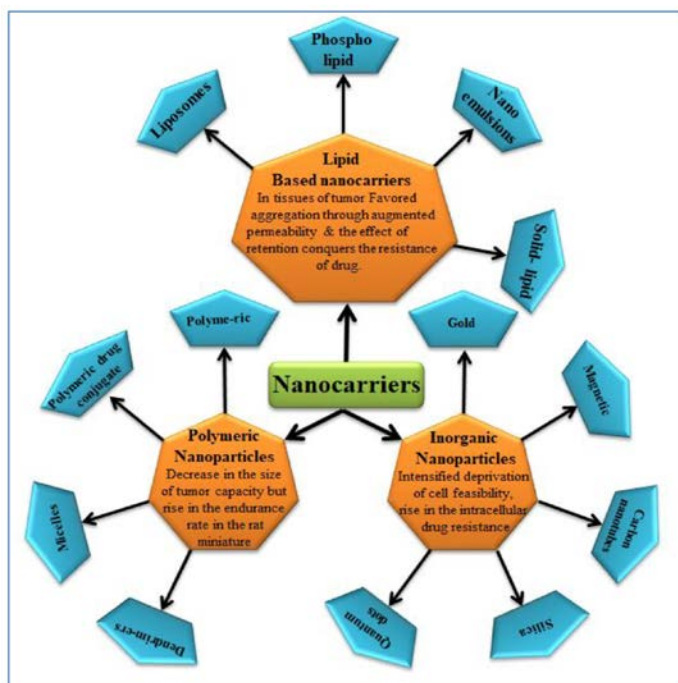


Figure 1: Different types of nanocarriers based drug delivery system.

in the cancer cells.¹⁶ Nanocarriers are potentially used for imaging and treatment applications in cancers to overcome these problems and for the drug's site-specific delivery.

NANOPARTICLES FOR DIAGNOSIS AND DRUG DELIVERY IN BREAST CANCER

Nanoparticles exhibit unique physical, chemical, and mechanical properties and are utilized as delivery agents by encapsulating and attaching drugs. They have optimum and regulated *in-vivo* distribution, specificity, intracellular penetration, bioavailability, and low toxicity. The nanoparticles are classified into three types (1) Lipid, (2) Polymeric, and (3) Inorganic. Depending on their size, colloidal state, and reasonable movement time, they have diverse applications in imaging, drug delivery, ablation of photothermic tumors, and radiation sensitizers.¹⁷⁻¹⁹ Figure 2 represents the characteristics of nanoparticles used in breast cancer therapy.

Liposomes

Bangham *et al.* identified Liposomes,²⁰ the first nanocarrier to be clinically approved by the FDA to deliver chemotherapeutic drugs, such as DaunoXomeTM.²¹ Liposomes are phospholipids consisting of small vesicles made of a vital aqueous and a lipid bilayer with membranes.²⁰ Bilayer membrane and hydrophobic molecules are intercalated, whereas hydrophilic molecules are entrapped in the aqueous medium, making it an excellent drug transporter (Torchilin, 2005). It is coated with inert polymers like Polyethylene glycol, which improves its stability and thus prolongs circulation in the blood.²² The first FDA-approved liposomes-based nanomedicines were Doxil[®], which comprises PEGylated-doxorubicin.²³ Although there are numerous advantages of liposomes, some studies have reported high absorption by the reticuloendothelial system and Kupfer cells of the liver within 15-30 min after intravenous injections,²⁴⁻²⁵ batch-to-batch variation, stability, and sterilization limitations.²⁶

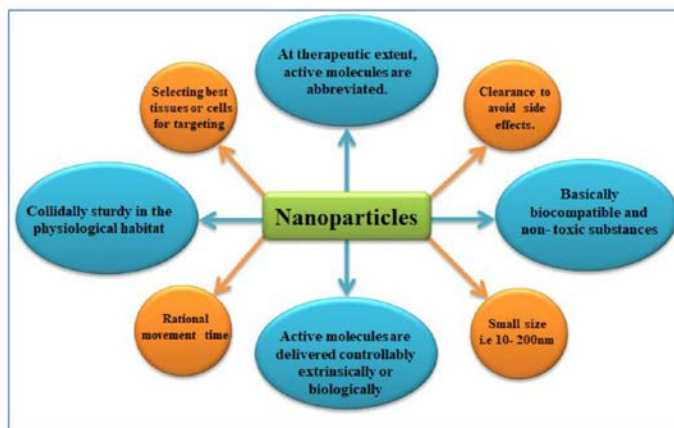


Figure 2: Properties of nanoparticles for used in the breast cancer therapy.

Polymeric Nanoparticles

Polymeric nanoparticles like PLGA (Poly D L-lactic-co-glycolic acid), PEG (Polyethylene glycol), and PLA (Poly D L-Lactic acid) are made from biodegradable and biocompatible polymers.²⁷ Polysaccharides like pectin, chitosan, and alginate have encapsulated these nanostructures.²⁸⁻²⁹ These polymeric nanoparticles are articulated to encapsulate drugs with either hydrophobic or hydrophilic properties. It helps in surface alterations and pH-reliant controlled drug release. Dendrimers are the macromolecules of polymeric nanomaterials with many arms extending from a midpoint with a distinct topological arrangement.³⁰ These consist of three essential components – (1) a central core having two or other groups, which are called generations; (2) the peripheral functional groups on the surface which regulate the physicochemical possessions of a dendrimer; and (3) peripheral clusters, which can be modified to attain a vital lipophilic and hydrophilic function.³¹ Genexol[®]-PM, Paclitaxel-formulated with polymeric micelle, is under clinical trial for treating breast cancer (Clinical Trials Database). Paclitaxel is an antimitotic chemotherapeutic drug that hinders the depolymerization of the microtubule, cell motility, and transport and is the first line of treatment for breast, lung, and ovary cancer.³² However, its limitation includes low specificity to cancer cells, poor solubility, and poor permeability.³³

Inorganic Nanoparticles

The inorganic nanoparticles have emerged as a novel drug delivery system due to their unique properties, such as chemical alignment, surface dimensions, physicochemical possessions, affluence of functionalization, virtuous constancy, and more excellent surface-to-volume ratio. Some inorganic nanoparticles are carbon nanotubes, magnetic, quantum dots, gold, and silica.²⁰ Gold nanoparticles are more attractive as nanocarriers because of their unique characteristics. They can be effortlessly synthesized, and their size can be actively controlled by tuning the synthesis.³⁴ The gold particles are resistant to oxidation within physiological conditions and thus are ideal for biological applications. The size and shape of the gold nanoparticles can be regulated to penetrate the cell membrane efficiently. These functionalized nanoparticles show increased transport kinetics, biocompatibility, circulation, and adsorption by tumor cells. An example of inorganic nanoparticles is Quantum dots (QD). These are semiconductor inorganic luminous nanocarriers whose surface can be modified with several ligands or molecules for the targeted therapy. These biocompatible nanocrystals range from 1-20 nm in size.³⁵⁻³⁶

ADVANCEMENTS OF CARBON NANOMATERIALS FOR TREATING BREAST CANCER

One of the nanomaterials with greater importance is carbon nanomaterials.³⁷ Carbon nanomaterials are inorganic nanomaterials and have excellent thermal and mechanical properties. They are used in photothermal therapy due to their strong ability to absorb in the infrared range.³⁸ The carbon nanomaterials such as graphene, carbon nanotubes (CNTs), and fullerenes, when chemically functionalized, exhibit enhanced solubility, thus enabling a controlled drug delivery.³⁹⁻⁴¹ The delivery of chemotherapeutic agents like taxol and doxorubicin using these carbon nanomaterials reduces toxicity as they have enhanced transmembrane permeability.⁴²⁻⁴⁹ These nanomaterials are an excellent matrix for imaging agents like radionuclides and fluorescent tags for early-stage detection of breast cancer.⁵⁰⁻⁵⁴ Buckyball or Buckminsterfullerene is a carbon allotrope that can absorb light in the ultraviolet region and generate reactive oxygen species upon illumination, which allows them to act as a potent photosensitizer. These tumor-specific photosensitizers are used in photodynamic therapy.⁵⁵ It involves photosensitizer and illumination of specific wavelength light, is an alternate tumor ablative treatment that can exert a robust cytotoxic action on malignant cells.⁵⁶ To replace the conventional invasive treatment for removing breast cancer cells recently, nanomaterials have been employed in photodynamic therapy.⁵⁷⁻⁶⁵ Biosensors can detect tumor indicators or markers even at low concentrations as compared to conventional imaging; and several studies have highlighted the utilization and advantages of using carbon nanotubes (CNTs) as biosensors.⁶⁶⁻⁶⁹

DIAGNOSTIC AND PROGNOSTIC BREAST CANCER BIOMARKER AND NANO-BASED PROFILING OF BIOMARKERS

Cancer expresses tissue-specific protein biomarkers that can detect different types of cancers. Biomarkers have all the information on tumor types and can be potential prognostic and diagnostic predictors.⁷⁰

HER2

Human Epidermal Growth Factor Receptor-2 is a tyrosine kinase transmembrane receptor and is a potential predictive and prognostic biomarker in breast cancer.⁷¹ Slamon *et al.* have found that those breast cancers in which HER2 is overexpressed are less responsive to chemotherapy.⁷² HER2 subtype tumors account for 20% to 30% of breast cancer patients and are also linked with enhanced chemo-resistance.⁷³⁻⁷⁴ Trastuzumab (Herceptin®) is a monoclonal antibody that targets the HER2 receptor.⁷⁵ It has two specific antigen-binding sites inhibiting the dimerization of HER2 and the activation of tyrosine-kinase.⁷⁷ The clinical data of HER2-positive patients treated with Trastuzumab in primary and metastatic stages showed an improved response and lower recurrence.⁷⁷⁻⁷⁹ Studies have also suggested that HER2-targeted therapy in cancer patients reduces the mortality rate by one-third. Though the development of the monoclonal antibody Trastuzumab increased prognosis in patients, few other patients have shown resistance and advance in disease progression.⁸⁰ Lapatinib and pertuzumab are alternative monoclonal antibodies used in Trastuzumab-resistant breast cancer cells.⁸⁰

Estrogen Receptor

The estrogen receptor (ER) is considered the most important biomarker for classifying breast cancer, and ER-positive patients show

a better treatment response for anti-estrogen or aromatase therapy than ER-negative patients.⁸¹

It is also an important prognostic factor for predicting breast cancer recurrence. It plays a vital role in the progression of carcinogenesis, and ER-positive tumors account for 70% of the primary breast cancer cases. It has been reported that ER-positive tumors are less aggressive and are associated with better outcomes after surgery.⁸²

Progesterone Receptor

The progesterone receptors are ligand-induced transcriptional factors that activate pro-proliferative signaling pathways in breast cancers. Only a few reported tumors are positive for progesterone receptor (PR) and negative for estrogen receptor (ER). Studies have shown that PR-positive tumors comprise 65-75% of breast cancers. Endocrine inhibition therapy is more effective and more responsive in the progesterone receptor and estrogen receptor-positive (PR+ER+) patients as compared to only estrogen receptor-positive patients (ER+PR-).⁸³ Further, the patients who are PR upbeat show better responses to Tamoxifen. PR-negative and ER-positive tumors are more aggressive and are associated with Tamoxifen resistance.⁸⁴

PROLIFERATIVE MARKERS

Ki-67 Biomarker

Ki-67 is the proliferation marker present in all actively dividing cells. It is a nuclear non-histone protein, the expression of which varies throughout the cell cycle.⁸⁵ It is considered one of the important markers in breast cancer and has been used to predict the outcome of hormonal adjuvant therapy and the risk of recurrence after chemotherapy.⁸⁶⁻⁸⁷ Several studies have suggested Ki-67 as a predictive and prognostic biomarker in breast cancer patients treated with neoadjuvant chemotherapy.⁸⁸⁻⁸⁹ It has been noted that Ki-67 should be used in combination with ER, PR, and HER2 to classify breast cancer better.

Cyclin D1 Biomarker

Cyclin D1 is a promising prognostic and diagnostic biomarker in breast cancer. The cyclin D1 (CCND1) gene is amplified in about 15% of the estrogen receptor-positive breast cancers and overexpression of its protein in 50% of cancer patients. Tumors with high expression of CCND1 are found to show resistance to endocrine therapy.⁹⁰ The expression of cyclins D, E, and A is crucial for the cell cycle transition (G1, S, and G2/M phase).⁹¹ The cell cycle proteins and their associated proteins, cyclin-dependent kinases, govern the division of the cells.⁹² However, as there have been conflicting reports of the correlation between cyclin D1 levels and patient survival, further studies are required to elucidate the clinical value of cyclin D1 in breast cancer.

Cyclin E

Cyclin E has similar actions as Cyclin D1, i.e., it is involved in regulating the cell cycle.⁹³ The overexpression of the Cyclin E gene has been identified in several breast cancer cell lines, and it has been proven that it plays a significant role in tumorigenesis.⁹⁴⁻⁹⁶ It regulates the G1-S checkpoint and has been shown as a potent oncogene driving unregulated cellular proliferation (Table 1).⁹⁷⁻⁹⁸

Molecular Profiling of Biomarkers with Quantum Dots

The subtyping of breast cancer into different subtypes is based on immunohistochemistry (IHC) markers and gene expression array data. As these methods are semi-quantitative and variable, new molecular profiling technologies were developed.⁹⁹ The individual tumor can be analyzed for a panel of biomarkers for precision medicine or personalized treatment with advanced profiling techniques. Numerous studies have

Table 1: Types of prognostic and diagnostic breast cancer marker.

Biomarkers	Types	Sample taken from, for identification
Serum Markers	CA 15-3, CA 27.29 CEA	Blood
Hormone Receptors	Estrogen receptors Progesterone receptors	Tissue
Oncoproteins	HER-2	Tissue
Gene mutations	BRCA1 and BRCA2	Blood
Tumor suppressor	p53	Tissue
Potential Proteins	MUC1 and Mammoglobin	Blood

documented the properties of quantum dots in immunostaining of the tissues. This method has the advantage of multicolor excitation, better brightness, and stability than photobleaching.⁹⁹ Yezhelev *et al.* reported conjugation of the quantum dots with breast cancer biomarkers ER, PR, and HER2. The multiplexed detection of these biomarkers in single paraffin-embedded tissue is closely correlated with immunohistochemistry, western blotting, and fluorescent *in-situ* hybridization (FISH). It was also suggested that quantum dots-based technology could be used for diagnostic applications with further improvements.⁹⁹ The nanocrystal-based quantum dots offer advantages over conventional imaging approaches, including signal amplification, enhanced binding affinity, specificity, and targeting efficiency. *In situ* hybridization (FISH) used the quantum dots-based fluorescence to detect HER2 biomarkers in breast cancer. It was found that the quantum dot model has more photostability than other probes like fluorescein or texas red.⁵⁹

Wang *et al.* demonstrated molecular sentinel (MS) probes to detect breast cancer biomarkers HER2 and Ki67. The surface-enhanced Raman Scattering-based molecular sentinel (SERS) nanoprobe have enhanced specificity in breast cancer diagnosis. The MS-based nanoprobe technique is valuable for multiplexed DNA detection and high throughput-bioassays in cancer.^{100, 101}

FUTURE PERSPECTIVE

With their unique biological properties and tunable surface characteristics, nanoparticles provide enhanced opportunities to improve breast cancer diagnosis and treatment. Many nanotechnology applications have transformed clinical oncology through enhanced detection, diagnosis, drug delivery, and treatment. This review has discussed various applications of nanoparticles in cancer therapy, current limitations, and future perspectives to improve the nanomedicine translation from bench to bedside.

CONCLUSION

To translate laboratory research of nanoparticles-based cancer therapeutics into clinical trials, some of the hurdles should be overcome, including improving concentration and encapsulation efficiency. It is essential to characterize the nanoparticles for their safety by analyzing their physiochemical, pharmacological, and immunological properties before being approved for clinical trials. The most challenging impediment to using nanoparticles associated with their use is toxicity; therefore, short-term and long-term studies should be performed in cell culture and animal models to assess their human use.

Another compounding factor that hinders the scaling-up of nanoparticle formulation for clinical use is batch-to-batch variation and biological equivalence. The improved strategies of a nanoformulation-based system

to deliver therapeutics when breast cancer cells spread to bone, lung, liver, and breast should be invented to improve the patient outcome. Overcoming these challenges will pave for the augmented role of nanoparticles in the fight against cancer.

CONFLICT OF INTEREST

The author declare that there is no conflict of interest.

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